DOC11R

Case Management System - KY Public Service Commission

Regular Cases Before the Commission as of July 14, 2010

REOPENED: 2010-00036 RECEIVED: 02/26/10 FILED: 02/26/10 FINAL:

SUSPENSION DATE: 09/28/10

CASE CODE General Rates Electronic Case

UTILITIES:

Kentucky-American Water Company aka Kentucky American Water

INTERVENORS:

HEARINGS:

07/28/10* Informal Conference 05/10/10 Informal Conference 08/10/10* Formal Hearing 08/11/10* Formal Hearing

INDEX OF EVENTS:

| 01/27/10 | Acknowledge Receipt of Filing |
|----------|-------------------------------|
| | |

Kentucky-American Water Company's request for deviation to file in electronic form / Lindsey Ingram, (M)01/27/10

Kentucky-American Water Company's notice of intent to file application for an increase in rates supported by a forecasted test year / Lindsey Ingram, III (M)01/27/10

PSC staffs first set of information requests to Kentucky American Water Company 02/16/10

02/16/10 Order Entered:

Kentucky-American's request for a deviation from 807 KAR 5:001 is granted. When conflict exists between the procedures set forth in 807 KAR 5:001 and those established in this Order, the parties

shall comply with the later.
Unless the Commission requires otherwise, all parties shall file an original and one copy in paper and

one copy in electronic medium.

Within five days of date of this Order, Kentucky-American shall notify Commission in writing e-mail addresses to which all electronic notices and messages related to this proceeding should be served. Kentucky-American shall file within five days of the date of this Order, a statement as to whether it, or its agent is capable of receiving electronic transmissions and whether it waives its right to service of Commission Orders by United States mail. Each party granted leave to intervene in this proceeding after the date of this Order shall file such statement within five days of entry of the Order granting it

(M)02/18/10 Kentucky-American Water Company Response / Lindsey Ingram, III

(M)02/19/10 Kentucký-American Water Company Response (Original & Electronic Receipt) / Lindsey Ingram, III (M)02/24/10 CAC, Bourbon, Harrison, and Nicholas Counties Motion for Full Intervention (Óriginal & Electronic File Receipt) / Iris Skidmore

(M)02/24/10 Community Action Council for Lexington-Fayette, Bourbon, Harrison, and Nicholas Counties Motion for

Full Intervention / Iris Skidmore

Response E-mail to Gail Maxam 02/26/10

(M)02/26/10 Attorney General's Motion to Intervene with Notice Regarding Service of Orders / David Edward

Public Comments E-mail from Gail Maxam / Gail Maxam (M)02/26/10

(M)02/26/10 Kentucky-American Water Company Application and supporting materials / Lindsey Ingram, III

(M)03/01/10 Public Comments E-mail from J.D. Silvey / J.D. Silvey

Response E-mail to J.D. Silvey 03/01/10

Kentucky-American Water Company Application and supporting materials (Original) / Hanly Ingram (M)03/01/10

Public Comments E-mail from Dwayne Starkey / Dwayne Starkey (M)03/03/10

Response E-mail to Dwayne Starkey 03/03/10

(M)03/03/10 Lexington-Fayette Urban County Government Motion to Intervene / David Jeffrey Barberie

(M)03/04/10 Public Comments E-mail from Deborah Sharp / Deborah Sharp

03/04/10 No deficiency letter

03/04/10 Response E-mail to Deborah Sharp

(M)03/04/10 John Thompson's Petition to Intervene / John Thompson Letter of Concern from Nick Altwies / Nicholas Altwies (M)03/04/10

(M)03/05/10 Lexington-Fayette Urban County Government Motion to Intervene (hard copy) / David Jeffrey Barberie

(M)03/05/10 Letter of Concern from Robert Norton / Robert Norton

Letter of Concern from Ben Blyton / Ben Blyton (M)03/08/10

03/08/10 Response E-mail to Beth Clemons

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| (NA)02/09/40 | Public Comments E mail from Both Clamons / Both Clamons |
|----------------------------|--|
| (M)03/08/10 (M)03/08/10 | Public Comments E-mail from Beth Clemons / Beth Clemons Letter of Concern from Leslie Goode / Leslie Goode |
| (M)03/09/10 | Letter of Concern from Lovell Foushee / Lovell Foushee, III |
| (M)03/09/10 | Letter of Concern from John and Joyce Everly / John and Joyce Everly |
| (M)03/10/10 | Letter of Concern from Tabitha Schnell / Tabitha Schnell |
| (M)03/11/10 | Kentucky-American Water Company Response to Requests for Intervention (Original/Electronic File |
| 03/11/10 | Receipt) / Lindsey Ingram, III |
| (M)03/11/10 | Response letter to John and Joyce Everly Kentucky-American Water Company Customer Notice Certification, Corrected Exhibit 11, Appendix A |
| (141)00/11/10 | to Michael Millers Direct Testimony (Original) / Lindsey Ingram, III |
| 03/11/10 | Response letter to T. Schnell |
| 03/11/10 | Response letter to Nicholas Altwies |
| 03/11/10 | Response letter to Robert Norton |
| (M)03/11/10 (M)03/11/10 | Kentucky-American Water Company Response to Requests for Intervention / Lindsey Ingram, III Kentucky-American Water Company Customer Notice Certification, Corrected Exhibit 11, Appendix A |
| (101)03/11/10 | to Michael Millers Direct Testimony / Lindsey Ingram, III |
| (M)03/12/10 | Lexington-Fayette Urban County Government's Resolution No. 135-2010 (fax) / Meredith Nelson |
| (M)03/15/10 | Lexington-Fayette Urban County Government's Resolution No. 135-2010 / Meredith Nelson |
| 03/16/10 | Response E-mail to Susan Stivers |
| (M)03/16/10 (M)03/16/10 | Public Comments E-mail from Susan Stivers / Susan Stivers Kentucky-American Water Company KAWs Petition for Confidential Treatment (original/electronic file |
| (101)03/10/10 | receipt) / Lindsey Ingram, III |
| (M)03/16/10 | Kentucky-American Water Company KAWs Responses to Commission Staffs First Set of Information |
| • | Requests / Lindsey Ingram, III |
| (M)03/16/10 | Kentucky-American Water Company KAWs Petition for Confidential Treatment / Lindsey Ingram, III |
| 03/17/10 (M)03/17/10 | Response E-mail to Earl Brady Public Comments E-mail from Rhonda Barnett / Rhonda Barnett |
| (M)03/17/10 03/17/10 | Response E-mail to Carol Pinkston |
| (M)03/17/10 | Public Comments E-mail from Earl Brady / Earl Brady |
| (M)03/17/10 | Public Comments E-mail from Diana Troutman / Diana Troutman |
| 03/17/10 | Response E-mail to Martha Rosenberg |
| 03/17/10 03/17/10 | Response E-mail to Barbara Kenney |
| (M)03/17/10 | Response E-mail to Amy Merritt Kentucky-American Water Company KAWs Responses to Commission Staffs First Set of Information |
| (111)00/11/10 | Requests (Original) / Lindsey Ingram, III |
| 03/17/10 | Response E-mail to Rhonda Barnett |
| (M)03/17/10 | Public Comments E-mail from Barbara Kenney / Barbara Kenney |
| 03/17/10 | Order Entered: (1) The proposed rates set forth in Kentucky-American's application are suspended up to and including |
| | September 28, 2010. |
| | (2) The procedural schedule set forth in the Appendix to this Order shall be followed. |
| | (3) All documents filed with the Commission shall comply with the provisions of the Commission's |
| | Order of February 16, 2010. |
| | (4) Response shall be appropriately indexed and shall include name of witness responsible for responding. Each response shall be under oath or be accompanied by signed certification. A party |
| | shall make timely amendment to any prior response if obtain info which indicates response was |
| | incorrect. For any request which party fails to furnish all or part of info, party shall provide written |
| | explanation. |
| | (5) When Kentucky-American is required in this proceeding to provide notice of an event or filing, it |
| | shall, when requesting publication, forward a duplicate of the notice and request to the Commission. (6) At any hearing in this matter, neither opening statements nor summarization of written testimony |
| | shall be permitted. |
| | (7) Motions for extensions of time shall be made in writing and will be granted only upon a showing of |
| | good cause. |
| 03/17/10 | (8) Nothing contained herein shall prevent the Commission from entering further Orders in this matter. |
| (M)03/17/10 | Response E-mail to Diana Troutman Public Comments E-mail from Carol Pinkston / Carol Pinkston |
| (M)03/17/10 (M)03/17/10 | Public Comments E-mail from Amy Merritt / Amy Merritt |
| (M)03/17/10 | Public Comments E-mail from Martha Rosenberg / Martha Rosenberg |
| 03/18/10 | Response E-mail to Linda Corridoni |
| (M)03/18/10 03/18/10 | Public Comments E-mail from Katherine Kellerman / Katherine Kellermann Response E-mail to Jon Elliott |
| 03/18/10 | Response E-mail to Soft Effold Response E-mail to Katherine Kellerman |
| (M)03/18/10 | Public Comments E-mail from Linda Corridoni / Linda Corridoni |
| (M)03/18/10 | Public Comments E-mail from Katherine Kellermann / Katherine Kellermann |
| 03/19/10 | Ordered Entered: |
| | Mr. Thompson's request for intervention is denied. |

Mr. Thompson's request for intervention is denied.

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| (M)03/22/10 | Public Comments E-mail from Mary Gumberts / Mary Gumberts |
|----------------------------|---|
| 03/22/10 | Response E-mail to Mary Gumberts |
| 03/30/10 | Order Entered Granting CAC's Motion to Intervene. Within five days of entry of this Order, CAS shall: (a) Notify the Commission and all other parties of |
| | record in writing of the electronic mail address to which all electronic notices and messages related to |
| | this proceeding shall be served. (b) File a written statement as to whether it, or its agent, is capable of |
| | receiving electronic transmission, and whether it waives its right to service of Commission Orders by |
| 00/00/40 | United States mail in return for electronic notification of issuance of such Orders. |
| 03/30/10 | Order Entered Granting Attorney General's Motion to Intervene. |
| 03/30/10 (M)03/31/10 | Order Entered Granting LFUCG's Motion to Intervene. Bates and Skidmore Response of CAC to February 16, 2010 Order (Original/Receipt) / Iris Skidmore |
| 03/31/10 | Office of Ky. Attorney General Attorney Generals Notice Regarding Electronic Notices and Messages |
| 03/31/10 | Bates and Skidmore Response of CAC to February 16, 2010 Order |
| (M)04/01/10 | Attorney General's Notice Regarding Electronic Notices and Messages (Original/Electronic Receipt) / |
| 0.4/0.4/4.0 | David Edward Spenard |
| 04/01/10 | Lexington-Fayette Urban County Government Notice reagrding electronic notices and messages |
| (M)04/02/10 04/05/10 | LFUCG's Notice (Original) / Leslye Bowman Office of Ky. Attorney General The Attorney Generals Request for Information to Kentucky-American |
| 04/03/10 | Water Company |
| 04/05/10 | Office of Ky. Attorney General Request for information to Kentucky-American Water Company - |
| | revised to correct error |
| (M)04/06/10 | Attorney General's Request for Information to KAW / David Edward Spenard |
| 04/06/10 | Order Entered: (1) A hearing in this matter shall be held on August 10, 2010 at 10:00 a.m. Eastern Daylight Time, in |
| | Hearing Room 1 of the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky. |
| | (2) Kentucky-American Water Company shall publish notice of this hearing in compliance with 807 |
| | KAR 5:001, Section 10(5). |
| | (3) The official record of these proceedings shall be by video only. |
| | (4) Any request to cancel or postpone this hearing shall be made by motion filed with the Commission |
| (M)04/06/10 | at least one week before the hearing is scheduled to commence. Attorney General's Request for information to KAW - revised to correct error (Original) / David Edward |
| (M)04/06/10 | Spenard |
| (M)04/08/10 | Initial Request for Information on Behalf of CAC and cover letter (Original/Electronic File Receipt) / Iris |
| | Skidmore |
| 04/08/10 | Bates and Skidmore Initial Request for Information on Behalf of CAC and cover letter |
| 04/09/10 (M)04/09/10 | Commission Staff's Second Set of Information Requests to Kentucky-American Water Company Lexington-Fayette Urban County Government Initial Request for Information / David Jeffrey Barberie |
| (M)04/12/10 | Lexington-Fayette Urban County Government's Requests for Information / David Jeffrey Barberie |
| (M)04/26/10 | KAWs Responses to the Attorney Generals First Set of Information Requests (Original/Electronic File |
| , | Receipt) / Lindsey Ingram, III |
| 04/26/10 | Kentucky-American Water Company KAWs Petition for Confidential Treatment |
| 04/26/10 | Kentucky-American Water Company KAWs Responses to the Attorney Generals First Set of |
| (M)04/30/10 | Information Requests KAW's Petition for Confidential Treatment / Lindsey Ingram, III |
| (M)04/30/10 (M)04/30/10 | KAW's Responses to: (1) CACs First Set of Information Requests; (2) LFUCGs First Set of Information |
| (141)0 1/00/10 | requests; and (3) Commission Staffs Second Set of Information Requests(Original/Electronic Receipt) |
| | Lindsey Ingram, III |
| 04/30/10 | Kentucky-American Water Company Petition for Confidential Treatment |
| 04/30/10 | Kentucky-American Water Company KAWs Responses to: (1) CACs First Set of Information |
| | Requests; (2) LFUCGs First Set of Information requests; and (3) Commission Staffs Second Set of Information Requests. |
| 05/03/10 | Kentucky-American Water Company KAWs Supplemental Responses to AG1 Items 15 and 47 |
| (M)05/04/10 | KAWs Supplemental Responses to AG1 Items 15 and 47 (Original/Electronic Receipt) / Lindsey |
| | Ingram, III |
| 05/07/10 | Office of Ky. Attorney General Attorney Generals Motion to Compel KAWC to provide consolidating |
| 05/09/10 | accounting information Office of Ky. Attorney General Attorney Generals Second Request for Information to |
| 03/09/10 | Kentucky-American Water Company |
| 05/10/10 | Commission Staff's Notice of Telephone Conference Call |
| (M)05/10/10 | Attorney Generals Second Request for Information to Kentucky-American Water Company / David |
| (NA) 05/40/40 | Edward Spenard |
| (M)05/10/10 | Attorney Generals Motion to Compel KAWC to provide consolidating accounting information / David |
| (M)05/12/10 | Edward Spenard Supplemental Request for Information on Behalf of CAC to Kentucky American Water Company and |
| (.71)00/ 12/ 10 | cover letter (Original/Electronic Receipt) / Iris Skidmore |
| 05/12/10 | Bates and Skidmore Supplemental Request for Information on Behalf of CAC to Kentucky American |

Water Company and cover letter

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| 05/14/10 | Commission Staff's Third Set of Information Requests to Kentucky-American Water Company |
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| 05/14/10 | Lexington-Fayette Urban County Government Supplemental Requests for Information |
| (M)05/17/10 | Lexington-Fayette Urban County Government's Supplemental Requests for Information / David Jeffrey |
| (***)******** | Barberie |
| 05/18/10 | Kentucky-American Water Company KAWCs Response to AGs Motion to Compel |
| (M)05/19/10 | KAWs Response to AGs Motion to Compel (Original/Electronic Receipt) / Lindsey Ingram, III |
| 05/24/10 | Memorandum dated 5/21/10 for Informal Conference of 5/10/10; Comments, if any, due within five |
| 00/2 1/10 | days of receipt |
| (M)05/24/10 | KAWs Petition for Confidential Treatment / Lindsey Ingram, III |
| 05/24/10 | Kentucky-American Water Company KAWs Petition for Confidential Treatment |
| 05/24/10 | Kentucky-American Water Company KAWs Responses to the AGs Second Set of Information |
| | Requests |
| (M)05/25/10 | KAWs Responses to the AGs Second Set of Information Requests (Original) / Lindsey Ingram, III |
| 05/28/10 | Kentucky-American Water Company KAWs Petition for Confidential Treatment |
| 05/28/10 | Kentucky-American Water Company KAWs responses to: CACs Second Information Request; |
| 00/20/10 | LFUCGs Second Information Request; and Commission Staffs Third Information Request. |
| (M)06/01/10 | KAWs responses to: CACs Second Information Request; LFUCGs Second Information Request; and |
| (***)******* | Commission Staffs Third Information Request. / Lindsey Ingram, III |
| (M)06/10/10 | CAC's Pre-filed direct testimony of Jack E. Burch / Iris Skidmore |
| 06/10/10 | Bates and Skidmore Pre-filed direct testimony of Jack E. Burch |
| 06/11/10 | Lexington-Fayette Urban County Government Direct Testimony of Richard A. Baudino |
| 06/11/10 | Office of Ky. Áttorney General Áttorney Generals pre-filed direct testimony - public version |
| 06/11/10 | Office of Ky. Attorney General Notice of direct testimony |
| (M)06/14/10 | Lexington-Fayette Urban County Government's Notice of Filing Testimony and Direct Testimony of |
| , | Richard A. Baudino / David Jeffrey Barberie |
| (M)06/14/10 | Attorney Generals Pre-Filed Direct Testimony for Ralph Smith and Dr. J. Randall Woolridge / David |
| | Edward Spenard |
| 06/16/10 | Letter to Lindsey W. Ingram, III granting Kentucky-American Water Company's petition for |
| | confidentiality filed 4/26/10 for protection of certain information |
| 06/16/10 | Letter to Lindsey W. Ingram, III granting Kentucky-American Water Company's petition for |
| 00/0=/40 | confidentiality filed 3/16/10 for protection of certain information |
| 06/25/10 | Commission Staff's first set of information requests to the AG |
| 06/25/10 | Commission Staff's first set of information requests to the LFUCG |
| 06/25/10 | Kentucky-American Water Company KAWs Information Requests to LFUCG and AG |
| (M)06/28/10 | KAW's Information Requests to LFUCG and AG / Lindsey Ingram, III |
| 07/08/10 | Lexington-Fayette Urban County Government Responses to Commission staffs First Set of Information |
| (1.4) 07/00/40 | Requests |
| (M)07/09/10 | Lexington-Fayette Urban County Government's Responses to PSC Staff's First Stet of Information |
| 07/00/40 | Requests / David Jeffrey Barberie |
| 07/09/10 | Lexington-Fayette Urban County Government Responses to Data Requests of Kentucky-American |
| 07/00/40 | Water Company |
| 07/09/10 | Office of Ky. Attorney General Attorney Generals Response to Commission Staffs First Set of |
| 07/00/40 | Information Requests and Response to Kentucky-American Water Companys Data Request. |
| 07/09/10 | Office of Ky. Attorney General Supplemental Response to KAWC Request, Item 35. |
| (M)07/12/10 | Attorney General's Supplemental Response to KAWC Request, Item 35. / David Edward Spenard |
| (M)07/12/10 | Attorney Generals Response to Staffs First Set of Information Requests and KAW's Data Request. / |
| (M)07/49/40 | David Edward Spenard Levington Favotto Urban County Government Exhibits to LEUCG Responses to KAW / David Joffroy |
| (M)07/12/10 | Lexington-Fayette Urban County Government Exhibits to LFUCG Responses to KAW / David Jeffrey Barberie |
| 07/12/10 | Lexington-Fayette Urban County Government Exhibits to LFUCG Responses to KAW |
| 01/12/10 | Learnington i ayette orban obanty obveniment Exhibits to El 000 Nesponses to NAVV |
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Total Number of Cases: 1